



152

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Phui Qui Nguyen et al.

Serial No: 10/772,796

Filed: November 25, 2003

For: PROCESS FOR MULTI-LAYER
COATING OF SUBSTRATES

Case No.: FA1216 US NA

Art Unit: Unknown

Examiner: Unknown

Commissioner for Patents
PO Box 1450
Alexandria, VA 22313-1450

**TRANSMITTAL FOR SUPPLEMENTAL INFORMATION DISCLOSURE
STATEMENT FILED BEFORE A FIRST ACTION ON THE MERITS OR WITHIN
THREE MONTHS OF THE ACTUAL FILING DATE**

Sir:

1. The Supplemental Information Disclosure Statement transmitted herewith is being filed **before** the mailing date of a first Action on the merits.
2. Since Applicants have filed this Supplemental Information Disclosure Statement **before** the mailing date of a first Action on the merits, Applicants do not believe that any fee specified in 37 CFR § 1.17(p) is due in accordance with this filing.
3. The Commissioner is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 04-1928 (E.I. du Pont de Nemours and Company). A duplicate copy of this Petition is enclosed.

Respectfully submitted,

Hilmar L. Fricke, Reg. No. 22,384
Attorney for Applicant(s)
Telephone: (302) 984-6058
Facsimile: (302) 658-1192

Date: May 28, 2004



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SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir:

Listed on accompanying Form PTO-1449 are documents that may be considered material to the examination of this application, in compliance with the duty of disclosure requirements of 37 C.F.R. §§ 1.56, 1.97 and 1.98.

The following documents were cited in the PCT international Search Report for Attorney Docket No. FA-1028 PCT (International Application No. PCT/US03/18604), mailed October 14, 2003:

WO 02/090451 (Dow Global Technologies)

WO 97/14737 (DSM/Japan Synthetic Rubber)

JP 2001 125079 A (XP002255140), abstract (Sumitomo Bakelite Co. Ltd.)

JP 07 242798 A (XP002255141), abstract (Sekisui Chem. Ind. Co. Ltd)

EP 0 566 801 A (Borden, Inc.)

A copy of the FA-1028 PCT Search Report

The following documents were cited in the PCT International Search Report for Attorney Docket No. FA-1050 PCT (International Application No. PCT/US03/18606), mailed October 24, 2003:

US 5,312,943 A (Gaglani Kamlesh)

EP 0 867 469 A (JSR Corp; Japan Fine Coating Co. Ltd; DSM NV)

US 5,985,956 A (Barsotti et al.)

US 6,333,077 B1 (Maag Karin et al.)

A copy of the FA-1050 PCT Search Report

The inclusion of the above-identified documents should not, however, be construed as an admission that any particular cited document is effective prior art or that it discloses or renders obvious any aspect of the claimed invention.

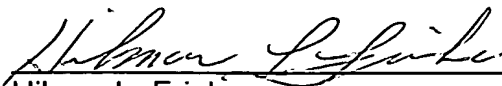
Applicants reserve the right to establish the patentability of the claimed invention over any of the information provided herewith, and/or to prove that this information may not be prior art, and/or to prove that this information may not be enabling for the teachings purportedly offered.

This statement should not be construed as a representation that an exhaustive search has been made, or that there does not exist information more material to the examination of the present patent application. The Examiner is specifically requested not to rely solely on the material submitted herewith. It is further understood that the Examiner will review art of record in all 35 U.S.C. § 120 priority documents.

It is Applicants understanding that although Examiners consider EPO Search Reports, they are not listed on the front of the issued patent, and are therefore normally crossed off of the form 1449. Accordingly, unless indicated in the record otherwise, it will be assumed that the Examiner has considered the EPO Search Report.

Applicants do not believe that any fee is due in accordance with the filing of this Supplemental Information Disclosure Statement. However, should there be a fee due that is unaccounted for, the Commissioner is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 04-1928 (E. I. du Pont de Nemours and Company). A duplicate copy of this pleading is enclosed.

Respectfully submitted,



Hilmar L. Fricke

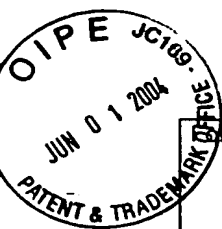
Attorney for Applicant(s)

Reg. No. 22,384

Telephone: (302) 984-6058

Facsimile: (302) 658-1192

Date: May 28, 2004



Sheet 1 of 1

Form PTO-1449 U.S. Department of Commerce Patent and Trademark Office			Attorney Docket No. FA1216 US NA	Application No. 10/722,796
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use several sheets if necessary)			Filing Date: November 25, 2003	
			First Named Inventor: Phui Qui Nguyen et al.	
			Examiner Name: Unknown	Art Group Unit: Unknown

U.S. PATENT DOCUMENTS

Examiner Initial	Cite No.	Office	Document Number	Kind Code	Name of Patentee or Applicant of Cited Document	Date of Publication	Pages, Cols., Lines where, Relevant Passages Appear	T
		US	6,333,077	B1	Karin Maag et al.	12/25/01		
		US	5,985,956	A	Robert Barsotti et al.	11/16/99		
		US	5,312,943	A	Kamlesh Gaglani	5/17/94		

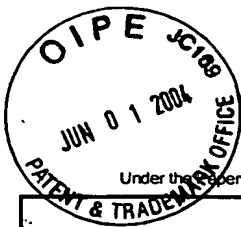
FOREIGN PATENT DOCUMENTS

Examiner Initial	Cite No.	Office	Document Number	Kind Code	Name of Patentee or Applicant of Cited Document	Date of Publication	Pages, Cols., Lines where, Relevant Passages Appear	T
		WO	02/090451	A1	Dow Global Technologies	11/14/02		
		WO	97/14737	A1	DSM/Japan Synthetic Rubber	4/24/97		
		JP	07 242798	A	Sekisui Chem. Ind. Co. Ltd	9/19/95	English abstract	
		JP	2001 125079	A	Sumitomo Bakelite Co. Ltd.	5/11/01	English abstract	
		EP	0 867 469	A	JSR Corp; Japan Fine Coating Co. Ltd; DSM NV	9/30/98		
		EP	0 566 801	A2	Borden, Inc.	10/27/93		

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

	Copy of the FA-1028 International Search report mailed October 2003
	Copy of the FA-1050 International Search report mailed October 2003
EXAMINER	DATE CONSIDERED
EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	

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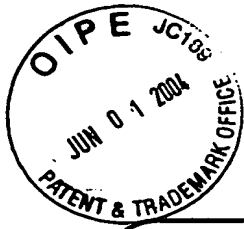
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Application No.: 10/772,796
Filing Date: November 25, 2003
Title: Process for Multi-Layer Coating of Substrates
Attorney Docket: FA1216 US NA

Transmittal for Supplemental Information Disclosure Statement, Supplemental Information Disclosure Statement, PTO-1449 and copies of references

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TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number 10/772,796

Filing Date November 25, 2003

First Named Inventor Phui Qui Nguyen

Group Art Unit Unknown

Examiner Name Unknown

Total Number of Pages in This Submission

59

Attorney Docket Number FA1216 US NA

ENCLOSURES (check all that apply)

☐ Fee Transmittal Form

☐ Fee Attached

☐ Amendment / Response

☐ After Final

☐ Affidavits/declaration(s)

☐ Extension of Time Request

☐ Express Abandonment Request

☒ Information Disclosure Statement
(Supplemental)

☐ Certified Copy of Priority
Document(s)

☐ Response to Missing Parts/
Incomplete Application

☐ Response to Missing
Parts under 37 CFR
1.52 or 1.53

☐ Assignment Papers
(for an Application)

☐ Drawing(s)

☐ Licensing-related Papers

☐ Petition

☐ Petition to Convert to a
Provisional Application

☐ Power of Attorney, Revocation
Change of Correspondence Address

☐ Terminal Disclaimer

☐ Request for Refund

☐ CD, Number of CD(s) _____

☐ After Allowance Communication
to Group

☐ Appeal Communication to Board of
Appeals and Interferences

☐ Appeal Communication to Group
(Appeal Notice, Brief, Reply Brief)

☐ Proprietary Information

☐ Status Letter

☒ Other Enclosure(s) (please
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Remarks

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm
or
Individual name

Hilmar L. Fricke, Registration No. 22,384

Signature

Date

May 28, 2004

CERTIFICATE OF MAILING

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Typed or printed name

Denise Bierlein

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May 28, 2004

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